

Arkansas State Highway and Transportation Department Regional ITS Architectures and Deployment Plans

West Memphis Region

Executive Summary

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TABLE OF CONTENTS

EXECUTIVE SUMMARY

PROJECT APPROACH	1
OVERVIEW OF THE WEST MEMPHIS REGION	3
REGIONAL STAKEHOLDERS	4
WEST MEMPHIS REGIONAL ITS ARCHITECTURE	5
Inventory and Needs in the Region	5
Market Packages	5
Interconnects, Interfaces and Data Flows	7
Standards	9
Operational Concept	
Agreements	
ITS Architecture Documentation	
WEST MEMPHIS REGIONAL ITS DEPLOYMENT PLAN	11
ITS Project Recommendations for the West Memphis Region	11
Projects of Statewide Significance	
MAINTAINING THE REGIONAL ITS ARCHITECTURE AND ITS DEPLOYMENT PLAN	15





TABLE OF CONTENTS

EXECUTIVE SUMMARY

LIST OF FIGURES

Figure 1 – West Memphis Regional ITS Architecture and Deployment Plan Developm	nent
Process	1
Figure 2 – West Memphis Regional Boundaries	3
Figure 3 – West Memphis Regional System Interconnect Diagram	8
Figure 4 – Architecture Maintenance Documentation Form	16
LIST OF TABLES	
Table 1 – West Memphis Market Package Prioritization by Functional Area	6
Table 2 – Recommended ITS Projects for the West Memphis Region	12





LIST OF ACRONYMS

AD Archived Data

AHTD Arkansas State Highway and Transportation Department

AMBER America's Missing: Broadcast Emergency Response

APTS Advanced Public Transportation Systems

ATIS Advanced Travel Information System

ATMS Advanced Traffic Management System

CCTV Closed-Circuit Television

CVISN Commercial Vehicle Information Systems and Networks

CVO Commercial Vehicle Operations

DMS Dynamic Message Sign

EM Emergency Management

EMS Emergency Medical Services

FHWA Federal Highway Administration

FTA Federal Transit Administration

HAR Highway Advisory Radio

ITS Intelligent Transportation System

MAP Motorist Assist Patrol

MC Maintenance and Construction

MPO Metropolitan Planning Organization

SAFETEA-LU Safe, Accountable, Flexible, Efficient Transportation Equity Act:

A Legacy for Users

TDOT Tennessee Department of Transportation

TEA-21 Transportation Equity Act for the 21st Century

TIP Transportation Improvement Program

TMC Transportation Management Center

TOC Traffic Operations Center





PROJECT APPROACH

Development of a regional intelligent transportation system (ITS) architecture is one of the most important steps in planning for and implementing ITS in a region. ITS architectures provide a framework for implementing ITS projects, encourage interoperability and resource sharing among agencies, identify applicable standards to apply to projects, and allow for cohesive long-range planning among regional stakeholders. The ITS architecture allows stakeholders to plan for what they want their system to look like in the long-term and then break out the system into smaller pieces that can be implemented in the short-term.

ITS architectures satisfy the conformity requirements first established in the Transportation Equity Act for the 21st Century (TEA-21) highway bill and continued in the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) bill passed in 2005. In response to Section 5206(e) of TEA-21, the Federal Highway Administration (FHWA) issued a final rule and the Federal Transit Administration (FTA) issued a final policy that required regions implementing any ITS project to have an ITS architecture in place by April 2005. After this date, any ITS projects must show conformance with their regional ITS architecture in order to be eligible for funding from FHWA or FTA. Regions that had not yet deployed ITS were given four years to develop an ITS architecture after their first ITS project proceeded to final design.

In September 2005 the Arkansas State Highway and Transportation Department (AHTD) in coordination with the West Memphis Metropolitan Planning Organization (MPO) began development of the West Memphis Regional ITS Architecture. Four stakeholder meetings were held and a draft ITS architecture was developed. The ITS Architecture has geographic boundaries slightly larger than the MPO (the Architecture boundaries are extended northward along I-55 and westward along I-40 to accommodate possible future ITS coverage along those corridors) and focuses on a 20-year vision for ITS in the Region. A project website was developed which contains additional information that was not feasible to include in the report. In addition, a separate ITS Deployment Plan was developed to identify and prioritize specific ITS projects recommended for the Region in order to implement the ITS architecture. The process used to develop the plan is illustrated in **Figure 1**.

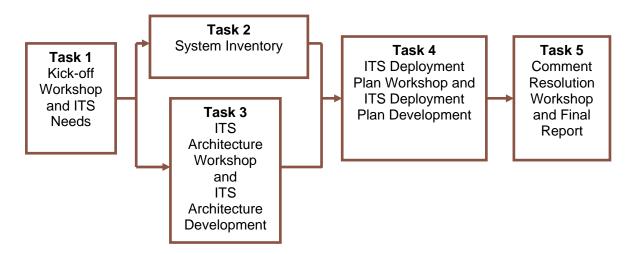


Figure 1 – West Memphis Regional ITS Architecture and Deployment Plan Development Process

04/28/06 West Memphis Region
1 Executive Summary





The ITS Deployment Plan, while not required by FHWA and FTA, is a useful tool for Regions to identify specific projects that are able to be deployed in order to implement the architecture. The Regional ITS Deployment Plan builds on the architecture by outlining specific ITS project recommendations and strategies for the Region, and by identifying deployment timeframes so that the recommended projects and strategies can be implemented over time.

The West Memphis Regional ITS Architecture and Regional ITS Deployment Plan were both developed with significant input from local, state, and federal officials. A series of four workshops were held to solicit input from stakeholders and ensure that the plans reflected the unique needs of the Region. Copies of the draft reports were sent to all stakeholders and the project website allowed stakeholders to submit comments directly to the project team. The Regional ITS Architecture and Deployment Plan developed reflects an accurate snapshot of existing ITS deployment and future ITS plans in the Region. Needs and priorities of the Region will change over time and, in order to remain effective, these documents should be periodically reviewed and updated.





OVERVIEW OF THE WEST MEMPHIS REGION

The West Memphis Region is defined by the boundaries of the West Memphis MPO with extensions of the MPO's boundaries northward along I-55 and westward along I-40 as shown by the dark line in **Figure 2**. These extensions were included to accommodate the possibility of future ITS equipment coverage along the two interstate highways. The eastern boundary of the Region follows the Arkansas – Tennessee state line. The regional architecture for Memphis addresses ITS needs in Tennessee. The Region encompasses approximately 500 square miles in eastern Arkansas. It includes the majority of Crittenden County, Arkansas. The Crittenden County population was 51,488 in 2004 and the largest city in the area is West Memphis.

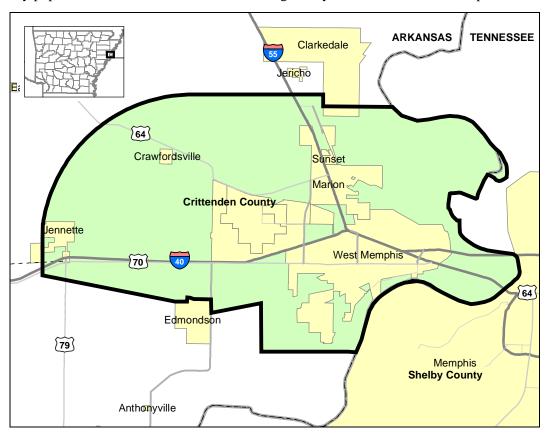


Figure 2 – West Memphis Regional Boundaries

The West Memphis Region is served by numerous State and Federal highways. The primary roadway facilities include I-40, I-55, US 64, US 70 (East Broadway Boulevard), and SR 77 (Missouri Street). Their effective operation is critical to the movement of goods and people throughout the States of Arkansas and Tennessee, as well the United States.





REGIONAL STAKEHOLDERS

Due to the fact that ITS often transcends traditional transportation infrastructure, it is important to involve non-traditional stakeholders in the Regional ITS Architecture and Deployment Plan development. Input from these stakeholders, both public and private, is a critical part of developing and documenting the overall vision for ITS in a region.

The following stakeholder agencies have participated in the West Memphis Region project workshops or provided input to the study team:

- AHTD District 1;
- AHTD Highway Police;
- AHTD Planning and Research Division;
- City of Marion;
- City of Marion Police Department;
- City of West Memphis;
- City of West Memphis Fire Department;
- Crittenden County;
- Crittenden County Sheriff's Department;
- FHWA Arkansas Division;
- Shelby County Department of Regional Services;
- Tennessee Department of Transportation Region 4; and
- West Memphis MPO.

A detailed list of stakeholders, including the individuals representing each agency, is provided in the Regional ITS Architecture report.





WEST MEMPHIS REGIONAL ITS ARCHITECTURE

The process for developing the Regional ITS Architecture for the West Memphis Region included several key steps:

- Preparing an inventory of planned and existing systems in the Region;
- Identifying needs in the Region that could be addressed by ITS deployment or integration;
- Customizing and prioritizing market packages to address the specific needs and services identified by stakeholders;
- Developing interconnects and interfaces for system elements to map out data flows and agency links;
- Preparing an operational concept to illustrate how the systems, components, and agencies will be integrated and function as a result of the architecture framework;
- Identifying high-level functional requirements;
- Identifying standards that could be applicable to the Region; and
- Outlining potential agreements that would be needed to facilitate information or resource sharing as a result of ITS implementation.

Inventory and Needs in the Region

The West Memphis Regional ITS Architecture began with a Kick-off Workshop in September 2005. At that workshop, stakeholders provided information about existing and planned ITS elements in the Region. A diverse range of needs were identified by stakeholders who attended. The inventory of planned and existing ITS infrastructure provided the basis for the architecture development. Needs that could be addressed by ITS technologies guided the selection of market packages, data flows, and integration requirements.

Market Packages

An ITS Architecture Workshop was held in West Memphis on October 18 and 19, 2005. At this workshop, stakeholders were provided with architecture training that included background information about the National ITS Architecture and the process that would be used to develop the West Memphis Regional ITS Architecture.

The next step in developing the West Memphis Regional ITS Architecture was to identify the services that would be needed to address the stakeholder needs. In the National ITS Architecture, services are referred to as market packages. Market packages can include several stakeholders and elements that work together to provide a service in the Region. There are a total of 85 market packages identified in Version 5.1 of the National ITS Architecture.

At the ITS Architecture Workshop, stakeholders selected the market packages that corresponded to the desired services and functions identified for the Region, and then customized these market packages. They included services and functions such as Network Surveillance, Traffic Information Dissemination, and Emergency Response as well as market packages to address coordination needs, including Traffic Incident Management and Regional Traffic Control and Coordination. Because market packages are groups of services and functions, they can be deployed incrementally and over time. Of the 85 market packages in the National ITS Architecture Version 5.1, 33 were selected and customized for deployment in the West Memphis





Region. The market packages outline the functions that stakeholders envision ITS to perform in coming years.

AHTD is leading a separate effort to develop and implement the Commercial Vehicle Information Systems and Networks (CVISN) program. CVISN addresses commercial vehicle operations, including ITS, on a statewide level and includes such applications as electronic clearance, safety enforcement, and registration. Unless a specific need was identified in the West Memphis Region that could be addressed locally, the commercial vehicle operations market packages were not selected and instead will be covered in the CVISN effort to ensure consistency.

Stakeholders were asked to prioritize the market packages into high, medium, and low priorities based on regional needs, feasibility, likelihood of deployment, and overall contribution of the market package to the goals and vision for ITS functionality in the Region. A summary of these prioritized market packages is shown in **Table 1**. Definitions for the ITS market packages are provided in Appendix A of the Regional ITS Architecture report.

Table 1 – West Memphis Market Package Prioritization by Functional Area

High Priority Market Packages	Medium Priority Market Packages	Low Priority Market Packages			
Travel and Traffic Management	Travel and Traffic Management				
ATMS01 Network Surveillance	ATMS03 Surface Street Control				
ATMS06 Traffic Information Dissemination					
ATMS07 Regional Traffic Control					
ATMS08 Traffic Incident Management System					
ATMS13 Standard Railroad Grade Crossing					
ATMS15 Railroad Operations Coordination					
Emergency Management					
EM01 Emergency Call-Taking and Dispatch	EM02 Emergency Routing	EM09 Evacuation and Reentry Management			
EM04 Roadway Service Patrols					
EM05 Transportation Infrastructure Protection					
EM06 Wide-Area Alert					
EM07 Early Warning System					
EM08 Disaster Response and Recovery					
EM10 Disaster Traveler Information					
Maintenance and Construction I	Management				
MC07 Roadway Maintenance and Construction	MC03 Road Weather Data Collection	MC06 Winter Maintenance MC09 Work Zone Safety			
MC08 Work Zone Management	MC04 Weather Information	Monitoring			
MC10 Maintenance and Construction Activity Coordination	Processing and Distribution				





Table 1 – West Memphis Market Package Prioritization by Functional Area (continued)

High Priority Market Packages	Medium Priority Market Packages	Low Priority Market Packages	
Public Transportation Management			
	APTS1 Transit Vehicle Tracking	APTS5 Transit Security	
	APTS2 Transit Fixed Route Operations		
	APTS3 Demand Response Transit Operations		
Commercial Vehicle Operations			
CVO10 HAZMAT Management			
CVO11 Roadside HAZMAT Security Detection and Mitigation			
Traveler Information	Traveler Information		
ATIS1 Broadcast Traveler Information	ATIS2 Interactive Traveler Information		
Archived Data Management			
AD1 ITS Data Mart	AD2 ITS Data Warehouse		

Interconnects, Interfaces and Data Flows

While customizing the Regional ITS architecture market packages, stakeholders mapped existing and planned ITS elements in the West Memphis Region to the subsystems in the National ITS Architecture. These elements included agencies, systems, and all of the ITS components in the Region. Subsystems are the highest level building blocks of the physical architecture, and the National ITS Architecture groups them into four major classes: Centers, Field, Vehicles, and Travelers. This mapping resulted in an interconnect diagram for the Region that is shown in **Figure 3**. This architecture diagram, also referred to as the "sausage diagram", shows the relationship of existing and planned systems in the West Memphis Region.

Interfaces have been identified for each element in the West Memphis Regional ITS Architecture, and each element has been mapped to those other elements with which it must interface. Architecture flows between the elements define the specific data that is exchanged. These data flows could be requests for information, alerts and messages, status requests, broadcast advisories, video images, or other information.





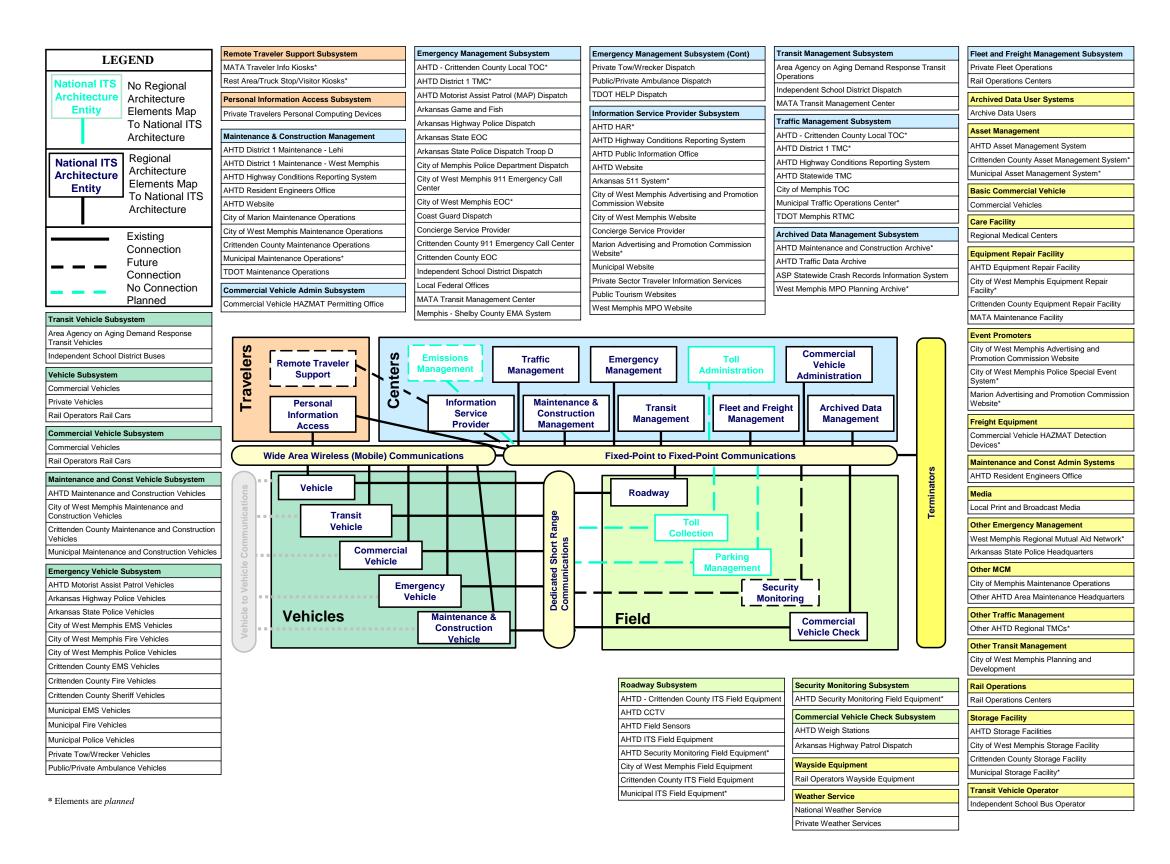


Figure 3 – West Memphis Regional System Interconnect Diagram

04/28/06

West Memphis Region
8

Executive Summary





Standards

With the required interfaces and interconnections identified, standards that could potentially be applied to the West Memphis Region were identified. Standards are an important tool that will allow efficient implementation of the elements in the West Memphis Regional ITS Architecture over time. They facilitate deployment of interoperable systems at local, regional, and national levels without impeding innovation as technology advances, vendors change, and as new approaches evolve.

Operational Concept

An Operational Concept documents each stakeholder's current and future roles and responsibilities in the operation of the regional ITS. The operational concept included in the West Memphis Regional ITS Architecture documents these roles and responsibilities across a range of transportation services. The services covered are:

- Traffic Signal Control;
- Highway Management;
- Incident Management;
- Transit Management;
- Traveler Information;
- Emergency Management;
- Maintenance and Construction Management;
- Archive Data Management; and
- Commercial Vehicle Operations.

Agreements

The Regional ITS Architecture for the West Memphis Region has identified several agency interfaces, information exchanges, and integration strategies that would be needed to provide the ITS services and systems identified by the stakeholders in the Region. Interfaces and data flows among public and private entities in the West Memphis Region will require agreements among agencies that establish parameters for sharing agency information to support traffic management, incident management, provide traveler information, and other functions identified in the Regional ITS Architecture.

With the implementation of ITS technologies, the integration of systems from one or more agencies, and the anticipated level of information exchange identified in the architecture, it is likely that formal agreements between agencies will be needed in the future. These agreements, while perhaps not requiring a financial commitment from agencies in the Region, should outline specific roles, responsibilities, data exchanges, levels of authority, and other facets of regional operations. Some agreements will also outline specific funding responsibilities, where appropriate and applicable.





The following is a list of potential agreements for the West Memphis Region based on the interfaces identified in the Regional ITS Architecture and recommended ITS projects in the Deployment Plan:

- Joint operations/shared control agreements among public agencies;
- Joint operations/shared control agreements between public agencies and private media and information service providers;
- Data sharing and usage agreements among public agencies;
- Data sharing and usage agreements among public agencies and private media and information service providers; and
- Mutual aid agreements among public agencies.

It is important to note that as ITS services and systems are implemented in the Region, part of the planning and review process for those projects should include a review of potential agreements that would be needed for implementation or operations.

ITS Architecture Documentation

The Regional ITS Architecture for the West Memphis Region is documented in a final report. Stakeholders were brought together to review the Regional ITS Architecture and provide feedback. The final architecture report was not prepared until after completion of the West Memphis Regional ITS Deployment Plan to allow for modifications based on information and input received for the Regional ITS Deployment Plan recommendations.

A website with the Regional ITS Architectures was also maintained. The website allowed stakeholders to review the architecture and provide comments directly to the project team through the website. At the time this report was published, the West Memphis Regional ITS Architecture website was being hosted at www.consystec.com. The site can be accessed by selecting the link to Arkansas, and then the link to the West Memphis Region.





WEST MEMPHIS REGIONAL ITS DEPLOYMENT PLAN

Although development of an ITS deployment plan was not required by the FHWA Final Rule for the architecture, the Final Rule does request a sequence of projects required for implementation. Capitalizing on the momentum and interagency dialogue established during the development of the Regional ITS Architecture, AHTD chose to expand on the project sequence requirement to develop a formal ITS deployment plan for the Region.

The West Memphis Regional ITS Architecture provided the framework and prioritized the key functions and services desired by stakeholders in the Region. The West Memphis Regional ITS Deployment Plan builds on the architecture by outlining specific ITS project recommendations and strategies for the Region and identifying deployment timeframes so that the recommended projects and strategies can be implemented over time. Agency responsibilities for implementing and operating the systems are also a key component of the Regional ITS Deployment Plan.

ITS Project Recommendations for the West Memphis Region

Using the needs, market package priorities, and any planned projects identified by the stakeholders during the architecture process, a list of recommended ITS projects for the West Memphis Region was developed. These projects were refined and additions and deletions were made by the Regional stakeholders at the ITS Deployment Plan Workshop on December 7, 2006.

For each functional area, stakeholders grouped projects into timeframes for deployment based on priority, dependence on other projects, technology, and feasibility. The timeframes have been categorized as short-term projects (5-year deployment timeframe), mid-term projects (10-year deployment timeframe), and long-term projects (20-year deployment timeframe). Actual deployment timeframes will be dependent on inclusion in the Transportation Improvement Program (TIP) and identification of funding sources. Most projects for the Region are infrastructure based; however, there are some recommendations that focus more on institutional practices and interconnectivity to enhance coordination and communications.

Each recommended project for the West Memphis Region was included in a table of projects grouped by functional area and separated into priorities by approximate implementation timeframe. These tables provided the name of the project, a project description, primary responsible agency, a planning level estimate of probable cost, an indication of whether or not funding had been identified for that specific project, and a listing of applicable market packages.

Table 2 summarizes the ITS projects recommended for the West Memphis Region. This summary is divided into the major program areas and subdivided by timeframe.





Table 2 – Recommended ITS Projects for the West Memphis Region

Project Time Frame	Project Name (Responsible Agency)
Travel and Traffic M	anagement
Short Term Projects 5-year Horizon	■ AHTD District 1 Transportation Management Center (TMC)
	■ AHTD-Crittenden County Traffic Operations Center (TOC)
	 AHTD Closed-Circuit Television (CCTV) Cameras and Dynamic Message Signs (DMS) on I-40 and I-55
	 AHTD America's Missing: Broadcast Emergency Response (AMBER) Alert DMS on I-40
	■ AHTD Speed Detection on I-40 and I-55
	■ AHTD Highway Advisory Radio (HAR) on I-40
	■ TDOT ITS Equipment on I-40 and I-55
	■ AHTD West Memphis Regional Traveler Information Web Site
	■ AHTD/City of West Memphis Signal Study
	■ AHTD/City of West Memphis Signal System Coordination and Upgrades
	Regional Communications Master Plan
	Regional Communications Implementation Phase 1
Mid Term Projects 10-year Horizon	AHTD CCTV Cameras and DMS Expansion
	AHTD DMS on Arterials
	AHTD Standard Railroad Grade Crossing Coordination
	■ Regional Communications Implementation Phase 2
	Media Liaison and Coordination
Long Term Projects 20-year Horizon	Regional Communications Implementation Phase 3
Emergency Management	
Short Term Projects 5-year Horizon	AHTD Motorist Assist Patrol (MAP) Dispatch
J-yGai i lolizoli	■ AHTD Infrastructure Security Monitoring Phase 1
	■ West Memphis Regional Mutual Aid Agreements
	■ West Memphis AMBER Alert Dissemination
Mid Term Projects	AHTD Infrastructure Security Monitoring Phase 2
10-year Horizon	■ City of West Memphis Fire/EMS Signal Preemption





Table 2 – Recommended ITS Projects for the West Memphis Region (continued)

Project Time Frame	Project Name (Responsible Agency)		
Maintenance and Construction Management			
Short Term Projects 5-year Horizon	Maintenance and Construction Activity Coordination		
	■ AHTD Portable DMS		
Mid Term Projects 10-year Horizon	■ AHTD/City of West Memphis Road Weather Data Collection		
Public Transportation Management			
Mid Term Projects 10-year Horizon	■ Transit Information for 511		
Archived Data Management			
Mid Term Projects 10-year Horizon	■ AHTD West Memphis Regional Data Warehouse		





Projects of Statewide Significance

Projects of statewide significance are projects that the West Memphis Region felt were important to the Region, but that would most likely be implemented on a statewide level rather than a regional level. The stakeholders recommended that these projects be considered for deployment statewide and expressed a willingness to support the projects as needed. Because the implementation schedule for these projects will be driven at the state level and not the regional level, a timeframe for implementation has not been included. Costs have also not been included as further study will be needed to determine the costs on a statewide level and the costs should not have an impact on funding for the Region. These projects include:

- AHTD/TDOT Communications Connection;
- AHTD Statewide TMC;
- Arkansas 511 Implementation;
- DMS AMBER Alert Message Dissemination System; and
- CVISN Implementation.





MAINTAINING THE REGIONAL ITS ARCHITECTURE AND ITS DEPLOYMENT PLAN

The Regional ITS Architecture and ITS Deployment Plan developed for the West Memphis Region addresses the Region's vision for ITS implementation at the time the plan was developed. As the Region grows, needs will change and as technology progresses new ITS opportunities will arise. As an example, at the time this architecture was developed traffic congestion was not a major concern in the Region and therefore traffic management did not play a large role in this version. As more development occurs in the Region, traffic congestion on arterial streets could become a larger concern and may require a more significant focus. Shifts in regional focus as well as changes in the National ITS Architecture will necessitate that the West Memphis Regional ITS Architecture be updated to remain a useful resource for the Region.

At the December 2005 ITS Deployment Plan Workshop stakeholders outlined a procedure for documenting changes to the Regional ITS Architecture. Stakeholders also decided to hold a formal review of the Regional ITS Architecture and Deployment Plan every two years in coordination with the TIP update cycle and a major revision every four years to correspond with the Long Range Plan Update. As part of the reviews, the project listings in the Regional ITS Deployment Plan should be examined and updated as appropriate to reflect projects that have been implemented, changes in project priorities, and new projects that need to be added to the plan. In order to address changes that may occur between updates, stakeholders agreed to notify the West Memphis MPO of any changes so that all changes can be kept together and added to the Regional ITS Architecture during an update. A copy of the change documentation form has been included as **Figure 4**.





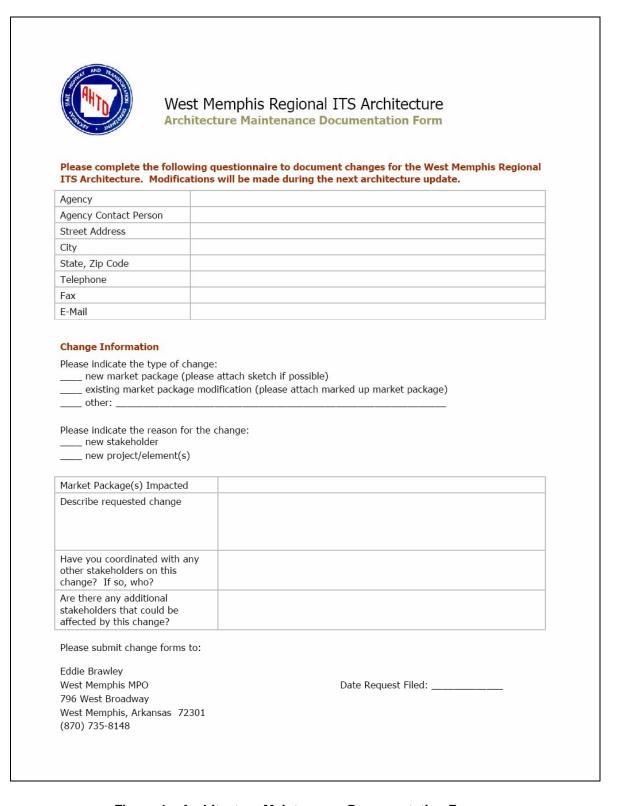


Figure 4 – Architecture Maintenance Documentation Form